3TG Due Diligence and Smelter/Refiner Information in Support of EPEAT 2.0 Criteria 7.3.1 and 7.3.3

(Additional Disclosure for EPEAT Application Models)

This document is published by Sharp Corporation as supplementary disclosure in support of compliance with EPEAT 2.0 Criteria 7.3.1 and 7.3.3. The scope of this disclosure covers EPEAT application models and the tin, tantalum, tungsten, and gold (3TG) contained in components and materials used in those models.

The reporting period for this disclosure is from April 1, 2025 to March 31, 2026. This document provides supplemental information specific to EPEAT application models. For the Sharp Group's overall policy, governance structure, and due diligence framework for responsible minerals procurement, please refer to the information published on the Sharp Sustainability website.

The list of smelters and refiners is published separately from this document as an appendix titled "List of Identified Smelters and Refiners."

Available at: https://global.sharp/corporate/eco/social/procurement/smelter-list.pdf

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1. Purpose of this Disclosure

This document supplements Sharp's existing public disclosures in order to provide a clearer explanation of responsible minerals procurement activities applicable to EPEAT application models. The information is publicly available through Sharp's corporate website for customers, suppliers, conformity assessment bodies, and other stakeholders.

Sharp is a downstream company within the 3TG supply chain.

Sharp does not directly purchase 3TG from mines, smelters, or refiners. Accordingly, Sharp verifies information regarding countries of origin and smelters and refiners primarily based on supplier responses and publicly available information, including information provided by the Responsible Minerals Initiative (RMI).

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2. Existing Public Disclosures

Sharp publicly discloses its responsible minerals procurement policy, management structure, industry engagement activities, use of RMI reporting templates, risk identification and assessment methodologies, response measures for RMAP non-conformant or high-risk smelters/refiners, and the five-step due diligence process based on the OECD Guidance through the following webpages:

Supply Chain CSR Promotion

Responsible Minerals Procurement

To avoid duplication, this document focuses on supplemental information relevant to EPEAT application models.

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3. Application of the Due Diligence Process to EPEAT Application Models

Sharp applies its responsible minerals procurement due diligence process to EPEAT application models as follows:

Collection of Supplier Information

Suppliers of parts and materials used in EPEAT application models are requested to provide 3TG-related information through the Responsible Minerals Initiative's Conflict Minerals Reporting Template (CMRT) or equivalent responsible minerals reporting tools.

Review of Supplier Responses

Submitted information is reviewed for missing responses, inconsistencies, unidentified smelters/refiners, duplicate entries, and consistency with recognized smelter/refiner names and identification numbers.

Identification of Smelters and Refiners

Reported smelters and refiners are consolidated and cross-checked against available RMI/RMAP information and other publicly available information related to responsible minerals sourcing.

Risk Assessment and Follow-up

Where information gaps, Non-Conformant statuses, high-risk indicators, or regulatory screening concerns are identified, Sharp considers risk mitigation measures including supplier follow-up inquiries, corrective action requests, and discussions regarding alternative sourcing options.

Record Retention

Supplier submissions, review records, smelter/refiner verification results, and follow-up activities are retained as supporting evidence for ongoing verification and updates.

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4. Aggregate RMI Status Results for Identified Smelters and Refiners

Based on supply chain investigations conducted during the reporting period, the following smelter/refiner status results were identified for EPEAT application models.

Category	Number	Percentage
Conformant	211	75.6%
Active	3	1.1%
Non-Conformant	65	23.3%
Total	279	100.0%
Conformant + Active	214	76.7%

*RMAP statuses are based on RMI publicly available information as of August 20, 2026. For details on each status, please refer to the Status column of the separate disclosure document, "List of Identified Smelters and Refiners."

The Conformant rate is calculated as follows:

Denominator:

279 smelters and refiners identified for EPEAT application models during the reporting period.

Numerator:

211 smelters and refiners confirmed as **Conformant** under RMI/RMAP.

Calculation:

211 ÷ 279 × 100 = **75.6% (rounded to one decimal place).**

Additional reference value:

If Active facilities are included, the combined rate is 214 ÷ 279 × 100 = **76.7%.**

Active facilities are not included in the Conformant rate calculation.

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5. Separate Disclosure of Smelter and Refiner List

The detailed list of smelters and refiners is disclosed separately on Sharp's corporate website as supporting information for EPEAT Criterion 7.3.3. This approach allows independent updates where supplier responses, RMI status information, regulatory information, or EPEAT application model scope changes occur.

Item	Description
Document Name	List of Identified Smelters and Refiners
Scope	Smelters and refiners reported through supplier surveys relevant to EPEAT application models
Primary Information Included	Mineral, Smelter/Refiner Name, Smelter Identification, Country/Region, Source Reference, RMI/RMAP Status, and supplementary review categories where applicable
Status Verification Basis	RMI/RMAP information and other publicly available responsible minerals sourcing information available at the time of review

6. Grievance Mechanism and Access to Remedy

As part of its efforts to establish effective grievance mechanisms for human rights issues, Sharp utilizes the dialogue and remedy platform provided by the **Japan Center for Engagement and Remedy on Business and Human Rights (JaCER).** JaCER operates a non-judicial grievance mechanism aligned with the **United Nations Guiding Principles on Business and Human Rights (UNGPs)** and supports member companies through complaint intake, dialogue facilitation, and remedy processes.

Where actual or potential adverse human rights impacts associated with responsible minerals procurement are raised or identified within the supply chain, Sharp may utilize the JaCER dialogue and remedy platform, as necessary, in addition to its existing supplier engagement and risk mitigation processes.

JaCER Website

JaCER Grievance Submission Form

7. Important Notes Regarding Interpretation

The smelter and refiner list included in the separate appendix is based on information reported by suppliers and does not indicate that Sharp directly sources materials from the listed facilities.

Inclusion of a smelter or refiner in the list does not constitute a representation that the facility is free from human rights risks, sanctions risks, import restrictions, or other regulatory concerns.

RMI/RMAP status information and regulatory screening results may change over time. Sharp reviews relevant information as necessary and updates the separate smelter/refiner list when appropriate.

Where additional verification is considered necessary for a reported smelter or refiner, Sharp will engage suppliers and consider appropriate risk mitigation measures in accordance with its responsible minerals procurement process.

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8. Contact Information

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